

## REVIEW

# Pharmacological evaluation frameworks in autism spectrum disorder: Methodological and neurobiological considerations

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**Abstract:** Autism spectrum disorder (ASD) is a heterogeneous neurodevelopmental condition characterized by persistent deficits in social communication and interaction, alongside restricted and repetitive behaviors. Despite substantial advances in genetics, neurobiology and neuroimaging, no pharmacological treatment currently addresses the core symptoms of ASD. Existing medications primarily target associated behavioral disturbances such as irritability, aggression and hyperactivity. This narrative review synthesizes contemporary neurobiological findings, methodological challenges and evolving clinical trial frameworks relevant to the evaluation of emerging pharmacotherapies in ASD. Particular emphasis is placed on biomarker-informed stratification, rigorous trial design and developmental timing as critical determinants of therapeutic success. Advances in molecular genetics, systems neuroscience and multimodal biomarker integration highlight the need for precision medicine approaches that align pharmacological targets with underlying neurodevelopmental mechanisms. Strengthening methodological rigor while maintaining ethical and neurodiversity-affirming principles will be essential to advancing effective pharmacological interventions for ASD.

**Keywords:** Autism spectrum disorder; Biomarkers; Clinical trial design; Methodology; Neurodevelopment; Pharmacotherapy

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## INTRODUCTION

Autism spectrum disorder (ASD) is a complex and lifelong neurodevelopmental condition that emerges early in childhood and is defined by impairments in social communication and reciprocal interaction, accompanied by restricted, repetitive patterns of behavior, interests, or activities. Current epidemiological estimates indicate a prevalence of approximately 1–2% worldwide, with increasing diagnostic rates largely attributable to improved awareness, screening practices and diagnostic criteria rather than a true rise in incidence (Leisman and Melillo, 2025). While behavioral, educational and psychosocial interventions remain the foundation of ASD management, pharmacological treatments are widely used to address associated symptoms such as irritability, aggression, anxiety and attention-deficit/hyperactivity disorder (ADHD)-like features. Importantly, no medication has yet demonstrated robust efficacy for the core social-communication deficits that define ASD.

Converging neurobiological evidence conceptualizes ASD as a disorder of atypical brain development involving altered synaptic maturation, disrupted neural connectivity, excitation/inhibition (E/I) imbalance and immune dysregulation (Bjorklund et al., Courchesne *et al.*, 2019). Structural and functional neuroimaging studies consistently implicate the amygdala, hippocampus, cerebellum and prefrontal cortex—regions critical for social cognition, emotional regulation and executive function (Courchesne *et al.*, 2004; Brambilla *et al.*, 2003).

Genetic studies have identified hundreds of risk-associated variants, including recurrent mutations in genes such as SHANK3, CHD8, SCN2A, CNTNAP2 and DYRK1A, which converge on synaptic development, chromatin remodeling and neuronal signaling pathways (Satterstrom *et al.*, 2020; Sanders *et al.*, 2015). Biochemical abnormalities, including elevated whole-blood serotonin and altered glutamatergic signaling, further underscore the biological heterogeneity of ASD and suggest potential pharmacological targets (Muller *et al.*, 2016; Uzunova *et al.*, 2016).

These advances necessitate the development of methodologically rigorous, biomarker-informed pharmacological evaluation frameworks capable of linking clinical phenotypes with underlying neurobiological mechanisms.

### ***Inclusion criteria for clinical pharmacological studies*** ***Diagnostic heterogeneity***

A central methodological challenge in ASD pharmacological research is the marked heterogeneity of the condition. ASD encompasses a wide spectrum of cognitive abilities, language skills, adaptive functioning and comorbid psychiatric or medical conditions. This heterogeneity can obscure treatment effects and contribute to inconsistent trial outcomes.

Core diagnostic domains include persistent deficits in social communication and interaction, restricted or repetitive behaviors, early developmental onset and clinically significant functional impairment (APA, 2013). In pharmacological trials, failure to adequately

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characterize participant subgroups may dilute efficacy signals and limit reproducibility. Stratification based on age, intellectual functioning, language ability and comorbidities is therefore essential to enhance both internal validity and translational relevance (Hodges *et al.*, 2020).

### **Diagnostic tools and biomarker support**

Reliable and standardized diagnostic instruments are critical for participant selection. The Autism Diagnostic Interview–Revised (ADI-R) and Autism Diagnostic Observation Schedule, Second Edition (ADOS-2), remain the gold standard diagnostic tools, ensuring cross-study consistency (Lord *et al.*, 1994). Dimensional measures such as the Social Responsiveness Scale (SRS-2) and Vineland Adaptive Behavior Scales (VABS-3) provide quantitative assessments of social and adaptive functioning.

Beyond behavioral diagnostics, neurobiological markers offer an opportunity to refine inclusion criteria. Neuroimaging studies have demonstrated early brain overgrowth followed by atypical synaptic pruning, with consistent abnormalities in the amygdala, corpus callosum and cerebellum (Brambilla *et al.*, 2003). Incorporating genetic profiles, serotonergic markers, or EEG-derived indices of cortical E/I balance may facilitate the identification of biologically meaningful subgroups with differential pharmacological responsiveness (Hudac and Webb, 2024; Beversdorf *et al.*, 2023).

### **Intervention models**

Pharmacological interventions in ASD generally follow two conceptual models. The symptom-based model targets secondary behavioral manifestations such as irritability, aggression, anxiety, or hyperactivity and underlies the clinical use of antipsychotics, mood stabilizers and adrenergic agents. In contrast, the disease-modifying model aims to influence core neurodevelopmental processes by targeting synaptic plasticity, neurotransmitter balance, neuroimmune pathways, or social neuropeptide systems (Josselson *et al.*, 2024).

To date, most clinical trials have focused on symptom reduction, while mechanistically driven, disease-modifying approaches remain largely experimental. Strengthening translational links between animal models, human biomarkers and clinical endpoints is critical for progress beyond symptomatic management.

### **Evaluation criteria**

#### **Behavioral outcome measures**

Outcome measure selection is central to evaluating pharmacological efficacy in ASD. While ADI-R and ADOS-2 are diagnostic tools, they have limited sensitivity to short-term change. The Aberrant Behavior Checklist

(ABC), particularly the irritability subscale, is widely used in pharmacological trials, with a 25% reduction commonly defined as a clinically meaningful response (Kildahl *et al.*, 2025).

The Clinical Global Impression (CGI) scale provides a broad clinician-rated assessment of improvement but lacks ASD specificity. Instruments such as the Children's Yale–Brown Obsessive Compulsive Scale (CY-BOCS), SRS-2 and VABS-3 capture repetitive behaviors, social responsiveness and adaptive functioning, respectively and are increasingly incorporated as secondary or exploratory outcomes.

Given the limited verbal abilities of many individuals with ASD, direct behavioral observation by trained clinicians remains an essential and objective assessment method. Composite outcome measures integrating caregiver reports, clinician ratings and objective biomarkers may enhance reliability and ecological validity (Gabriels *et al.*, 2001).

#### **Objective biomarkers**

Objective biomarkers are increasingly incorporated into early-phase trials to assess target engagement and neurobiological effects. Functional MRI studies demonstrate that intranasal oxytocin modulates activity in amygdala–prefrontal circuits involved in social processing (Yamasue *et al.*, 2020; Gordon *et al.*, 2013). EEG markers, including gamma-band synchrony and mismatch negativity, offer scalable indicators of cortical E/I balance and sensory processing (Hudac and Webb, 2024).

Multimodal biomarker integration—combining neuroimaging, electrophysiology and biochemical measures—may provide a more comprehensive understanding of treatment mechanisms and individual variability in response.

#### **Statistical and methodological design**

Randomized, double-blind, placebo-controlled trials remain the gold standard for evaluating pharmacological efficacy in ASD (Jacob *et al.*, 2022). However, small sample sizes and heterogeneity necessitate innovative designs, including adaptive and Bayesian approaches that allow interim analyses and flexible dosing strategies.

Stratified analyses based on age, sex, genetic background and biomarker-defined subgroups improve interpretability and translational relevance. Longitudinal follow-up is particularly important, as ASD is a developmental condition and treatment effects may evolve over time.

#### **Pharmacological evaluation framework**

##### *Current therapeutic strategies*

Current pharmacological management in ASD is predominantly symptomatic. Atypical antipsychotics such

as risperidone and aripiprazole are effective in reducing irritability and aggression through dopaminergic and serotonergic modulation (McCracken *et al.*, 2002; Nagaraj *et al.*, 2006). Mood stabilizers and adrenergic agents are used to manage impulsivity, mood lability and hyperarousal (McDougle *et al.*, 2003).

Selective serotonin reuptake inhibitors have been evaluated for repetitive behaviors, but results remain inconsistent, with frequent reports of adverse effects (Kolevzon *et al.*, 2006). Trials involving secretin, fenfluramine and several mood stabilizers have largely yielded negative or inconclusive findings (McQueen and Heck, 2002).

Overall, existing treatments provide symptomatic relief without modifying core neurodevelopmental dysfunctions.

### **Emerging and experimental therapies**

Recent research emphasizes mechanism-based interventions. Oxytocin and vasopressin analogues show modest but variable effects on social cognition and reciprocity (Josselson *et al.*, 2024). Glutamatergic modulators targeting NMDA or mGluR5 receptors aim to restore E/I balance, though clinical efficacy remains under investigation (Canitano and Palumbi, 2021).

Microbiome-based interventions represent an emerging frontier, with pilot studies suggesting improvements in gastrointestinal and behavioral symptoms via modulation of the gut-brain axis (Kang *et al.*, 2017). Anti-inflammatory and microglial-modulating agents, including minocycline, are also under exploration due to evidence of neuroinflammation in ASD (Fan *et al.*, 2023; Vargas *et al.*, 2005).

### **Methodological and developmental considerations**

Robust ASD pharmacological trials require careful participant stratification, multimodal outcome measures and sufficient duration ( $\geq 12$  weeks) to assess both acute and sustained effects. Ethical considerations are paramount, particularly in pediatric populations and protocols must respect neurodiversity, ensure informed assent and minimize harm.

Developmental timing is a critical variable. Early childhood represents a window of heightened neuroplasticity during which pharmacological interventions may exert disproportionate long-term effects when combined with behavioral therapies (Dawson, 2008).

### **Future directions**

Future ASD pharmacotherapy research should adopt a precision medicine framework, integrating genetic, neuroimaging and electrophysiological markers to

identify responders and clarify mechanisms of action (Beverdorf *et al.*, 2023). Large-scale open datasets, such as ABIDE, facilitate replication and meta-analysis, thereby strengthening the reliability of evidence.

Synergistic combinations of pharmacological and behavioral interventions may enhance learning and social engagement. Longitudinal studies should prioritize functional outcomes, including adaptive behavior, quality of life and community participation (Sterrett *et al.*, 2023). Active involvement of autistic individuals and families in study design is essential to ensure ethical, relevant and respectful research (Lerner *et al.*, 2023).

## **CONCLUSION**

Autism spectrum disorder remains a profoundly heterogeneous neurodevelopmental condition for which effective pharmacological treatments targeting core symptoms are still lacking. Advances in genetics, neuroimaging and biomarker science now enable the development of stratified, mechanism-based trials. Progress will depend on methodological rigor, biomarker integration, developmental sensitivity and ethical commitment to neurodiversity-affirming research. Aligning pharmacological targets with developmental neurobiology offers the most promising path toward meaningful therapeutic innovation in ASD.

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### **Ethical approval**

Not applicable.

### **Conflict of interest statement**

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